PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

Attomey Docket No. 06670/0200859-US0

First Inventor Francois Pelletier

ADJUSTABLE CHROMATIC DISPERSION

Title | ADJUSTABLE CHROMATIC DISPERSION COMPENSATOR

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. ഗ Patent Application MS Assignment Recordation Services **APPLICATION ELEMENTS** Director of the US Patent and Trademark ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. Office P.O. Box 1450 Alexandria, VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) Specification 28 3 Х [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below) Specification Sequence Listing on: Descriptive title of the invention - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, Statements verifying identity of above copies or a computer program listing appendix Background of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Summary of the Invention - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description 37 CFR 3.73(b) Statement 10. - Abstract of the Disclosure (when there is an assignee) X Drawing(s) (35 U.S.C. 113) Total Sheets 4 English Translation Document (if applicable) 11. Information Disclosure Copies of IDS 5. Oath or Declaration [Total Sheets 2 12. Statement (IDS)/PTO-1449 Citations Preliminary Amendment X Newly executed (original or copy) 13. Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503) 14. (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15. **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). named in the prior application, 16. Applicant must attach form PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). Other: Associate Power of Attorney (1 page) 17. х X Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Х Customer Number: 07278 Correspondence address below DARBY & DARBY P.C. Name S. Peter Ludwig Address P.O. Box 5257 City **New York** State NY Zip Code 10150-5257 Country US Telephone (212) 527-7700 (212) 753-6237 S. Peter .udwia Name (Print/Type) Registration No. (Attorney/Agent) 25,351 Signature Date February 4, 2004



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Signature

PTO/SB/17 (10-03)
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FEE TRANSMITTAL for FY 2004

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 592.00

Complete if Known				
Application Number	Not Yet Assigned			
Filing Date	Concurrently Herewith			
First Named Inventor	Francois Pelletier			
Examiner Name	Not Yet Assigned			
Art Unit	N/A			
Attorney Docket No.	06670/0200859-US0			

Date

February 4, 2004

(4) 602.00	, and the second					
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
X Check Credit Money Order Other None 3. ADDITIONAL FEES						
Deposit Account:						
Deposit	_	Entity		Entity	•	
Account Number 04-0100	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Darby & Darby P.C.	1051	130	2051	65	Surcharge – late filing fee or oath	
Name The Director is authorized to: (check all that apply)		50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification	
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below, except for the filing fee		920*	1804	920*	Requesting publication of SIR prior to Examiner action	
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 385.00	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385.00	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 385.00	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Fee from Claims below Fee Paid	1502	480	2502	240	Design issue fee	ł
Total Claims 43 -20** = 23 x 9.00 = 207.00	1503	640	2503	320	Plant issue fee	
Independent 3 -3** = x = 0.00	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request for Continued Examination (RCE)	
over original patent	1802	900	1802	900	Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		ee (spec			of a design application	
SUBTOTAL (2) (\$) 207.00		ced by E	•	ing Fee	Paid SUBTOTAL (3) (\$)	==
**or number previously paid, if greater; For Reissues, see above	[ove by E		g . cc	(4)	
SUBMITTED BY					(Complete /if analisable))	
	Registr	ation No	1		(Complete (if applicable))	
Name (Print/Type) S. Peter Ludwig		v/Agent)		,351	Telephone (212) 527-7770	

		 		
Express Mail Label No.	Dated:		•	

Application No. (if known	App	lication	No. ((if I	known'
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Attorney Docket No.: 06670/0200859-US0

Certificate of Express Mailing Under 37 CFR 1.10

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MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on	February 4, 2004
	Date

Typed or printed name of person signing Certificate

Each paper must have its own certificate of mailing, or this certificate must identify Note: each submitted paper.

Utility Patent Application Transmittal (1 page)

Fee Transmittal (1 page)

Application Data Sheet (2 pages)

Specification (19 pages); Claims (8 pages); Abstract (1 page)

Figs. 1-4 (4 sheets)

Combined Declaration and Power of Attorney (2 pages)

Associate Power of Attorney (1 page)
Check No. 4064 in the amount of \$592.00